

091-22062-2

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0504
Expires: August 31, 2019
Estimated average burden
hours per response: 3.60

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

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Washington DC

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
National Stock Exchange, Inc.
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Trust
3. Class of New Derivative Securities Product:
Equity
4. Name of Underlying Instrument:
NuShares ESG Mid-Cap Growth ETF
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-Based
6. Ticker Symbol(s) of New Derivative Securities Product:
NUMG
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Domestic Stock Exchange
8. Settlement Methodology of New Derivative Securities Product:
Cash
9. Position Limits of New Derivative Securities Product (if applicable):



17002183

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
James Buckley

Title: Chief Regulatory Officer

Telephone Number:
201-499-3698

Manual Signature of Official Responsible for Form:

Date: January 13, 2017

| | |
|----------------------|---------------------------------|
| Act | Securities Exchange Act of 1934 |
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | JAN 17 2017 |



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James G. Buckley
Chief Regulatory Officer
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james.buckley@nsx.com

VIA FED EX

January 13, 2016

Ms. Claudette Ransom
Division of Trading and Markets
Securities and Exchange Commission
100 F Street N.E.
Washington, DC 20549

SEC
Mail Processing
Section
JAN 17 2017
Washington DC
412

Re: Securities Exchange Act Forms 19b-4(e)

Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934, enclosed please find one original and nine copies of completed Forms 19b-4(e), executed on behalf of the National Stock Exchange, Inc. (the "Exchange") for each of the following securities which were recently approved for trading on the Exchange:

1. IDHD
2. XSHD
3. ESGU
4. BLHY
5. HYLB
6. SGQI
7. WEAR
8. UWT
9. DWT
10. NULG
11. NULV
12. NUMG
13. NUMV
14. NUSC
15. DIVO
16. JHMD
17. COWZ

| | |
|----------------------|---------------------------------|
| Act | Securities Exchange Act of 1934 |
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | JAN 17 2017 |

Ms. Claudette Ransom

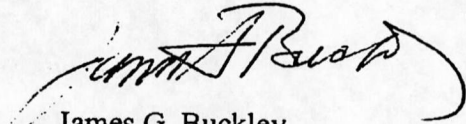
January 13, 2017

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- 18. BMLP
- 19. NURE
- 20. WBIY
- 21. EFAD
- 22. EUDV
- 23. GAA
- 24. VAMO
- 25. INFR
- 26. XD
- 27. XE
- 28. XF
- 29. XH
- 30. XI
- 31. XK
- 32. XM
- 33. XS
- 34. XU
- 35. OUSM
- 36. UTLZ
- 37. SPLZ
- 38. WTIU
- 39. WTID
- 40. SWIN
- 41. DUSA
- 42. DFNL
- 43. DWLD
- 44. CLTL

Please contact me at (201) 499-3698 if you have any questions.

Very truly yours,



James G. Buckley
Chief Regulatory Officer

Enclosures